



Birla Sugar

K.K. BIRLA GROUP OF SUGAR COMPANIES

UPPER/SE/2016-17/35

October 13, 2016

The Secretary
National Stock Exchange of India Ltd.
Exchange Plaza, 5th Floor
Plot No. C/1, G Block
Bandra- Kurla Complex, Bandra (E)
Mumbai 400 051

The Dy. General Manager
Corporate Relationship
Department
BSE Ltd.
1st Floor, New Trading Ring,
Rotunda Building
P.J. Towers, Dalal Street,
Fort
Mumbai-400 001

The Secretary
The Calcutta Stock
Exchange Ltd.
7, Lyons Range
Kolkata 700 001

Stock Code : UPERGANGES

Stock Code : 530505

Stock Code - 10031097

Dear Sir,

Sub : Distribution of Shareholding as on 30th September, 2016

Pursuant to Regulation 31 of the Securities & Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we send herewith the distribution of shareholding as on quarter ended 30th September, 2016 in the prescribed format.

Please acknowledge receipt.

Yours faithfully,
For Upper Ganges Sugar & Industries Limited,

Santosh Kumar Poddar
Company Secretary

Encl : as above



Upper Ganges Sugar & Industries Limited

Corporate Office and Correspondence Address: Birla Building (5th Floor) • 9/1 R. N. Mukherjee Road • Kolkata 700 001 • E-mail : birlasugar@birla-sugar.com

Phone : (+91 33) 2243 0497/8, 2248 7068, 3057 3700, 3042 0900 • Fax : (+91 33) 2248 6369

Regd. Office : P.O. Seohara (N. Rly) • Dist. Bijpur (U.P.) • PIN - 246 746

CIN : L15421UP1932PLC025141

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of Listed Entity: Upper Ganges Sugar And Industries Limited				
2.	Scrip Code/Name of Scrip/Class of Security: 530505(BSE) UPERGANGES(NSE)				
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c) <table border="1" style="margin-left: 20px;"> <tr> <td>a.</td> <td>If under 31(1)(b) then indicate the report for Quarter ending 30th September 2016</td> </tr> <tr> <td>b.</td> <td>If under 31(1)(c) then indicate date of allotment/extinguishment</td> </tr> </table>	a.	If under 31(1)(b) then indicate the report for Quarter ending 30th September 2016	b.	If under 31(1)(c) then indicate date of allotment/extinguishment
a.	If under 31(1)(b) then indicate the report for Quarter ending 30th September 2016				
b.	If under 31(1)(c) then indicate date of allotment/extinguishment				
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-				

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.



Upper Ganges Sugar And Industries Limited
Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Number of sharehold-ers	No. of fully paid up equity shares held	No. of partly paid-up equity shares held	No. of underlying Depository Receipts	Total nos. shares held (VII) = (V)+(VI)+(VII)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) (As a % of (A+B+C2))	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including securities assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (a) (XII)	As a % of total Shares held (b) (XIII)	Number of Shares pledged or otherwise encumbered (a) (XIV)	As a % of total Shares held (b) (XV)	Number of equity shares held in dematerialised form (XVI)	
								Class eg: X	Class eg: Y	Total							
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)	(XIII)	(XIV)	(XV)	
(A)	Promoter & Promoter Group	25	6514304	0	0	6514304	56.37	6514304	0	6514304	0	56.37	0	0.00	0	0.00	6514304
(B)	Public	9152	5042935	0	0	5042935	43.63	5042935	0	5042935	0	43.63	0	0.00	NA	4893013	
(C)	Non Promoter - Non Public																
(C1)	Shares Underlying DRs	0	0	0	0	0	0.00	0	0	0	0	0.00	0	0.00	NA	0	
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.00	0	0	0	0	0.00	0	0.00	NA	0	
	Total	9177	11557239	0	0	11557239	100.00	11557239	0	11557239	0	100.00	0	0.00	0	14407317	



Upper Ganges Sugar And Industries Limited

Table II - Statement showing Shareholding pattern of the Promoter and Promoter Group

Category & Name of the shareholders	PAN	Nos. of Shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares	No. of shares underlying	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, (VIII) As a % of (A+B+C2)	Number of Voting Rights Class eg: X	(IX) Total		Total as a % of (A+B+C)	No. of Shares Underlying (X)	Shareholding % assuming full (XI) = (VII)-(X) As a % of (A+B+C2)	No. (a) of Locked in Shares	(XII) As a % of total Shares	No. (a) of Shares pledged total	(XIII) As a % of total Shares held (b)	Number of equity shares held in dematerialise
1																		
(a) Indian Individuals / Hindu Undivided Family		5	864300	0	0	864300	7.48	864300	0	864300	7.48	0	0.00	0	0.00	0	0.00	864300
(i) Nandini Nopany	ABMHN7831R	2	811090	0	0	811090	7.02	811090	0	811090	7.02	0	0.00	0	0.00	0	0.00	811090
(ii) Chandra Shekhar Nopany	ABPN5383K	2	39600	0	0	39600	0.34	39600	0	39600	0.34	0	0.00	0	0.00	0	0.00	39600
(iii) Urvil Nopany	AJPN1989I	1	13610	0	0	13610	0.12	13610	0	13610	0.12	0	0.00	0	0.00	0	0.00	13610
(b) Central Government / State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c) Financial Institutions / Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d) Any Other (Specify)		20	5650004	0	0	5650004	48.89	5650004	0	5650004	48.89	0	0.00	0	0.00	0	0.00	5650004
(i) Bodies Corporate		20	5650004	0	0	5650004	48.89	5650004	0	5650004	48.89	0	0.00	0	0.00	0	0.00	5650004
(ii) SII Investments Limited	AAAGC32899H	1	1547016	0	0	1547016	13.39	1547016	0	1547016	13.39	0	0.00	0	0.00	0	0.00	1547016
(iii) New India Realising And Investment Ltd	AAACN970F	1	969021	0	0	969021	8.38	969021	0	969021	8.38	0	0.00	0	0.00	0	0.00	969021
(iv) Yashvardhan Investment & Trading Co. Ltd.	AAACG1135F	1	658289	0	0	658289	5.70	658289	0	658289	5.70	0	0.00	0	0.00	0	0.00	658289
(v) Hargan Investment & Trading Co. Ltd.	AAACH7326R	1	657966	0	0	657966	5.69	657966	0	657966	5.69	0	0.00	0	0.00	0	0.00	657966
(vi) Rim Investment And Trading Co. Ltd.	AAAR3995D	1	412430	0	0	412430	3.57	412430	0	412430	3.57	0	0.00	0	0.00	0	0.00	412430
(vii) Champan Marketing & Trading Co. Ltd.	AAAGC31569D	1	349971	0	0	349971	3.03	349971	0	349971	3.03	0	0.00	0	0.00	0	0.00	349971
(viii) Champan Marketing & Trading Co. Ltd.	AAAGC31569D	1	260841	0	0	260841	2.26	260841	0	260841	2.26	0	0.00	0	0.00	0	0.00	260841
(ix) Dcm Investment & Trading Co. Ltd.	AAAC0312L	1	234891	0	0	234891	2.03	234891	0	234891	2.03	0	0.00	0	0.00	0	0.00	234891
(x) Nilgir Plantations Limited	AAACN9968M	1	138000	0	0	138000	1.19	138000	0	138000	1.19	0	0.00	0	0.00	0	0.00	138000
(xi) Sish Enterprises Ltd.	AAECG1577M	1	104514	0	0	104514	0.90	104514	0	104514	0.90	0	0.00	0	0.00	0	0.00	104514
(xii) Naraitagiri Farms Limited	AAARCN165H	1	86410	0	0	86410	0.75	86410	0	86410	0.75	0	0.00	0	0.00	0	0.00	86410
(xiii) Ronson Traders Limited	AAARCR999R	1	83508	0	0	83508	0.72	83508	0	83508	0.72	0	0.00	0	0.00	0	0.00	83508
(xiv) Rajpur Farms Limited	AAACR8726K	1	66776	0	0	66776	0.58	66776	0	66776	0.58	0	0.00	0	0.00	0	0.00	66776
(xv) Shree Vihar Properties Ltd	AAECG1579E	1	31535	0	0	31535	0.27	31535	0	31535	0.27	0	0.00	0	0.00	0	0.00	31535
(xvi) Lu Monde Trading & Investments Private Ltd	AAACG16886G	1	25180	0	0	25180	0.22	25180	0	25180	0.22	0	0.00	0	0.00	0	0.00	25180
(xvii) The Oudh Sugar Mills Limited	AAABCT0813G	1	18562	0	0	18562	0.16	18562	0	18562	0.16	0	0.00	0	0.00	0	0.00	18562
(xviii) Utam Commercial Ltd.	AAACU3603N	1	2227	0	0	2227	0.02	2227	0	2227	0.02	0	0.00	0	0.00	0	0.00	2227
(xix) Sorral Commercial Ltd.	AAECG1576L	1	1650	0	0	1650	0.01	1650	0	1650	0.01	0	0.00	0	0.00	0	0.00	1650
(xx) Pavapur Trading And Investment Company Ltd.	AAABP7400R	1	1155	0	0	1155	0.01	1155	0	1155	0.01	0	0.00	0	0.00	0	0.00	1155
(xxi) Deepshikha Trading Co. Private Limited	AAABCD0344C	1	62	0	0	62	0.00	62	0	62	0.00	0	0.00	0	0.00	0	0.00	62
Sub Total (A)(1)		25	6514304	0	0	6514304	56.37	6514304	0	6514304	56.37	0	56.37	0	0.00	0	0.00	6514304
2																		
Foreign Individuals (Non-Resident Individuals / Foreign)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(a) Individuals		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(b) Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c) Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d) Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e) Any Other (Specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
Sub Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
Total Shareholding Of Promoter And Promoter Group			6514304	0	0	6514304	56.37	6514304	0	6514304	56.37	0	56.37	0	0.00	0	0.00	6514304

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

- (1) PAN would not be displayed on website of Stock Exchanges
- (2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.



Upper Ganges Sugar And Industries Limited
Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of Shares under/Depository Receipts	Total nos. Shares held (IV)+(V)+(VI)	Shareholding 6% calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of Class eg: X	Class eg: Y	Total	Total as a % of (A+B+C)	No. of Shares Under/Depository Receipts convertible	Shareholding % as a % of (VII)+(X) convertible	Number of Locked in No. (a)	As a % of total Shares held (b)	Number of Shares No. (a)	As a % of total Shares held (b)	Number of equity shares held in dematerialised form
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(VIII) As a % of (A+B+C2)	(IX)	(IX)	(IX)	(X)	(XI) = (VII)+(X) As a % of	(XII)	(XIII)	(XIII)	(XIV)		
1. Institutions																		
(a) Mutual Fund		1	850	0	0	850	0.01	850	0	850	0.01	0	0.01	0	0.00	NA	NA	0
(b) Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(c) Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(d) Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e) Foreign Portfolio Investor		1	4405	0	0	4405	0.04	4405	0	4405	0.04	0	0.04	0	0.00	NA	NA	4405
(f) Financial Institutions / Banks		4	5384	0	0	5384	0.05	5384	0	5384	0.05	0	0.05	0	0.00	NA	NA	5064
(g) Insurance Companies		1	397210	0	0	397210	3.44	397210	0	397210	3.44	0	3.44	0	0.00	NA	NA	397210
(i) Life Insurance Corporation Of India	AAAC0582H		397210	0	0	397210	3.44	397210	0	397210	3.44	0	3.44	0	0.00	NA	NA	397210
(h) Provident funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(i) Any Other (Specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
Sub Total (B)(1)		7	407849	0	0	407849	3.53	407849	0	407849	3.53	0	3.53	0	0.00	NA	NA	406679
2. Central Government/ State Government(s)/ President of India																		
Sub Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
3. Non-Institutions																		
(a) Individuals																		
1. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		11	666130	0	0	666130	5.76	666130	0	666130	5.76	0	5.76	0	0.0000	NA	NA	639130
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		11	235000	0	0	235000	2.03	235000	0	235000	2.03	0	2.03	0	0.0000	NA	NA	235000
(b) NBFCs registered with RBI	ACSP6623E		235000	0	0	235000	2.03	235000	0	235000	2.03	0	2.03	0	0.0000	NA	NA	0
(c) Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.0000	NA	NA	0
(d) Overseas Depositor/Holding DRs (balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.0000	NA	NA	0
(e) Any Other (Specify)		1014	2013825	0	0	2013825	17.42	2013825	0	2013825	17.42	0	17.42	0	0.0000	NA	NA	1990209
(f) Trusts		1	718317	0	0	718317	6.22	718317	0	718317	6.22	0	6.22	0	0.0000	NA	NA	718317
(g) Nayewam Medical Institute	AAATN2102N		718317	0	0	718317	6.22	718317	0	718317	6.22	0	6.22	0	0.0000	NA	NA	718317
(h) Foreign Nationals		4	810	0	0	810	0.01	810	0	810	0.01	0	0.01	0	0.0000	NA	NA	540
(i) Hindu Undivided Family		240	127605	0	0	127605	1.10	127605	0	127605	1.10	0	1.10	0	0.0000	NA	NA	127605
(j) Non Resident Indians (Non Repat)		30	10124	0	0	10124	0.09	10124	0	10124	0.09	0	0.09	0	0.0000	NA	NA	10124
(k) Non Resident Indians (Repat)		71	47805	0	0	47805	0.41	47805	0	47805	0.41	0	0.41	0	0.0000	NA	NA	47803
(l) Clearing Member		334	288224	0	0	288224	2.49	288224	0	288224	2.49	0	2.49	0	0.0000	NA	NA	288224
(m) Market Maker		12	13347	0	0	13347	0.12	13347	0	13347	0.12	0	0.12	0	0.0000	NA	NA	13347
(n) Bodies Corporate		322	807593	0	0	807593	6.99	807593	0	807593	6.99	0	6.99	0	0.0000	NA	NA	784249
(o) Sub Total (B)(3)	AAACC1490K		141561	0	0	141561	1.22	141561	0	141561	1.22	0	1.22	0	0.0000	NA	NA	141561
Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)		9152	5042935	0	0	5042935	43.63	5042935	0	5042935	43.63	0	43.63	0	0.0000	NA	NA	4883331



Upper Ganges Sugar And Industries Limited

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the shareholders	PAN	Nos. of sharehold	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Underlying Shares	Shareholding full assuming conversion of convertible securities (including as a percentage)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialise form	
								No of Voting Rights	Total as a % of (A+B+C)	% of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)		
1 Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	(IX)	0	0.00	0	0.00	NA	NA	0
2 Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	(X)	0	0.00	0	0.00	NA	NA	0
Total Non-Promoter- Non Public Shareholding (C) = (C1)+(C2)		0	0	0	0	0	0.00	0	0	0	(XI) = (VII)+(X) As a % of	0	0.00	0	0.00	NA	NA	0

For Upper Ganges Sugar & Industries Ltd.

 Company Secretary